

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	200	(US-20050246264-\$ or US-20050108152-\$ or US-20020072930-\$ or US-20020077893-\$ or US-20030069744-\$ or US-20030083895-\$ or US-20040220816-\$ or US-20050177491-\$ or US-20060111952-\$ or US-20060178978-\$ or US-20060271474-\$ or US-20070100655-\$ or US-20070239574-\$ or US-20030144943-\$ or US-20050102219-\$ or US-20050108153-\$ or US-20030233309-\$ or US-20020091611-\$ or US-20020194107-\$ or US-20050160024-\$ or US-20010037273-\$ or US-20020055901-\$ or US-20030033241-\$ or US-20060178983-\$ or US-20070124167-\$ or US-20070124168-\$).did. or (US-20010039496-\$ or US-20020040319-\$ or US-20020107746-\$ or US-20020198815-\$ or US-20030078872-\$ or US-20030097349-\$ or US-20030139997-\$ or US-20030149654-\$ or US-20040030631-\$ or US-20040044611-\$ or US-20040220820-\$ or US-20040220823-\$ or US-20050144116-\$ or US-20050160031-\$ or US-20070005383-\$ or US-20070083453-\$ or US-20030225666-\$ or US-20060149657-\$ or US-20070038544-\$ or US-20030036963-\$ or US-20060161482-\$ or US-20070208645-\$ or US-20050010423-\$ or US-20050038688-\$ or US-20050108146-\$ or US-	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:39

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S2	1	S1 and "research broker"	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:40
S3	0	S1 and buy-side broker	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:40
S4	4	S1 and buy-side	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:40
S5	132	S1 and commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:41
S6	46	S1 and commission and research	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:41
S7	1032	S1 and step-out or step-in	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:41
S8	1	S1 and step-out	US-PGPUB; USPAT	ADJ	ON	2007/12/26 15:42
S9	1	("20030225666").PN.	US-PGPUB; USPAT	OR	OFF	2007/12/26 15:47
S10	3155	705/35.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:38
S11	639	step-out or step-in and commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:38
S12	130064	research and (trad\$4 or execut\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:39
S13	0	S10 and S11 and S12	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:39
S14	1	S10 and S11	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:39
S15	9	(step-out or step-in) and commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:40
S16	3354	705/37.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:46
S18	191	S16 and S12 and commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:47
S19	3	S16 and S12 and commission and (step-out or recapture)	US-PGPUB; USPAT	ADJ	ON	2007/12/26 16:47
S20	0	S16 and confidential near3 commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:03

S21	2	S16 and confidential same commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:03
S22	20	"705".clas. and confidential same commission	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:07
S23	109	commission same research and "705".clas.	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:24
S24	57	commission same research and "705".clas. and @ad<"20040212"	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:24
S25	57	commission same research and "705".clas. and @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/26 17:25
S26	0	("2003/0225666").URPN.	USPAT	ADJ	ON	2007/12/26 17:26
S27	3358	705/37.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/27 10:03
S28	130275	research and (trad\$4 or execut\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/27 10:04
S29	579	S27 and S28	US-PGPUB; USPAT	ADJ	ON	2007/12/27 10:04
S30	1	"20020046147"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 10:07
S31	636	step-out or step-in and commission and "705".clas.	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:24
S32	633	step-out or step-in and commission and "705".clas. @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:25
S33	633	step-out or step-in and commission and 705/35-38.ccls. @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:27
S34	638	step-out or step-in and commission and @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:29
S35	8294	705/35-38.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:29
S36	4	S34 and S35	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:29
S37	6	(step-out or step-in) and commission and @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:32
S38	0	("2005/0246264").URPN.	USPAT	ADJ	ON	2007/12/27 14:34
S39	491	step-out and @ad<"20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:34
S40	2	step-out and @ad<"20040213" and 705/1-38.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:35

S41	5	commission sharing arrangement	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:46
S42	3	trading strategy with disclose	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:50
S43	1	trading strategy with reveal	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:52
S44	2	trading position with (reveal\$2 or disclos\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/27 14:54
S45	9	commission management and @ad<"20040213" and 705/1-38.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/12/27 17:11
S46	200	(US-20050246264-\$ or US-20050108152-\$ or US-20020072930-\$ or US-20020077893-\$ or US-20030069744-\$ or US-20030083895-\$ or US-20040220816-\$ or US-20050177491-\$ or US-20060111952-\$ or US-20060178978-\$ or US-20060271474-\$ or US-20070100655-\$ or US-20070239574-\$ or US-20030144943-\$ or US-20050102219-\$ or US-20050108153-\$ or US-20030233309-\$ or US-20020091611-\$ or US-20020194107-\$ or US-20050160024-\$ or US-20010037273-\$ or US-20020055901-\$ or US-20030033241-\$ or US-20060178983-\$ or US-20070124167-\$ or US-20070124168-\$).did. or (US-20010039496-\$ or US-20020040319-\$ or US-20020107746-\$ or US-20020198815-\$ or US-20030078872-\$ or US-20030097349-\$ or US-20030139997-\$ or US-20030149654-\$ or US-20040030631-\$ or US-20040044611-\$ or US-20040220820-\$ or US-20040220823-\$ or US-20050144116-\$ or US-20050160031-\$ or US-20070005383-\$ or US-20070083453-\$ or US-20030225666-\$ or US-20060149657-\$ or US-	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:20

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S47	1	S46 and (step-out or step-in)	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:20
S48	636	step-out or step-in and commission and accounting	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:21
S49	635	step-out or step-in and commission and accounting and (stock or trad\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:22
S50	3	(step-out or step-in) and commission and accounting and (stock or trad\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:22
S51	7	(step-out or step-in) and accounting and (stock or trad\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:23
S52	5	(step-out or step-in) and commission and (stock or trad\$4)	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:23
S53	4	(step-out or step-in) and commission and accounting	US-PGPUB; USPAT	ADJ	ON	2007/12/31 10:24
S54	1344	"computer process".clm.	USPAT	ADJ	ON	2007/12/31 10:39
S55	79	"computer process".clm. and 705/1-38.ccls.	USPAT	ADJ	ON	2007/12/31 10:39
S56	2	execution quality and step-out	US-PGPUB; USPAT	ADJ	ON	2007/12/31 12:59
S57	1	step-out arrangement\$2	US-PGPUB; USPAT	ADJ	ON	2007/12/31 13:53
S58	0	step-out near6 accounting	US-PGPUB; USPAT	ADJ	ON	2007/12/31 13:54

S59	11	step-out near6 track\$3	US-PGPUB; USPAT	ADJ	ON	2007/12/31 13:54
S60	40	execution quality and broker	US-PGPUB; USPAT	ADJ	ON	2007/12/31 13:58
S61	25	execution quality and broker and @ad< "20040213"	US-PGPUB; USPAT	ADJ	ON	2007/12/31 13:59
S62	0	broker near5 preference\$2 @ad< "20040213"	US-PGPUB; USPAT	ADJ	ON	2008/01/02 09:01
S63	9154	trading	DERWENT	ADJ	ON	2008/01/02 09:03
S64	1	"20050246264"	DERWENT	ADJ	ON	2008/01/02 09:03
S65	5806	T01-N01A2F	DERWENT	ADJ	ON	2008/01/02 09:04
S66	217	T01-N01A2F and @ad< "20040213" and broker	DERWENT	ADJ	ON	2008/01/02 09:05
S67	149	T01-N01A2F and @ad< "20040213" and (soft-dollar or soft dollar or step-out or step-in or stepp\$4)	DERWENT	ADJ	ON	2008/01/02 09:07
S68	1	T01-N01A2F and @ad< "20040213" and (soft-dollar or soft dollar or step-out or step-in or stepp\$4)	DERWENT	ADJ	ON	2008/01/02 09:11
S69	1	T01-N01A2F and @ad< "20040213" and (research and broker)	DERWENT	ADJ	ON	2008/01/02 09:12
S70	1	"20050021437"	US-PGPUB	ADJ	ON	2008/01/02 09:16
S71	6	("20020128958"   "20020198815"   "4677552"   "5787402"   "6029146"   "6173270"). PN.	US-PGPUB; USPAT	ADJ	ON	2008/01/02 09:22
S72	11	sponsoring broker	US-PGPUB; USPAT	ADJ	ON	2008/01/02 09:29

1/ 2/ 2008 2:11:19 PM

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